Open Access Collection Development Policy

Prepared by: Chris Palazzolo, Head of Collections; Lisa Macklin, Associate Dean, Research, Engagement, and Scholarly Communication; and Jody Bailey, Head of the Scholarly Communications Office
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Purpose

The following policy outlines basic principles regarding the addition and support of open access (OA) content to complement existing collection development policies. The supported OA content may be monograph and journal programs and models and cooperative large-scale digitization efforts. The policy covers both financial support for OA initiatives and identification and selection of OA content for addition to the collection and catalog.

We are committed to the advancement and promotion of OA by helping users discover OA materials in our collection and supporting OA publishing efforts of Emory-affiliated authors and the greater research and publishing community. These OA materials enhance and complement traditional resources that are purchased/owned, leased, or created by the library.

This policy, it should be noted, does not cover transformative agreements (e.g., read and publish or offsetting agreements), which partially repurpose subscription costs to support OA publishing.
A separate set of principles and guidelines will serve as the foundation to assess these agreements by Emory Libraries.

Support of OA Collection Initiatives

Numerous new and innovative publishing and digitization OA initiatives from established and new publishers and presses continue to be piloted and implemented. Novel financial models are also emerging in this area, but most require single or multiyear financial commitments on the part of the library in pursuit of making the resulting content openly available.

The following guidelines should inform selectors and collection managers as to which content initiatives are to be supported by Emory Libraries. Note that for-profit initiatives and initiatives from for-profit organizations will undergo greater scrutiny.

- The OA content should be within our current collection development guidelines (i.e., would we acquire this content if it were fee-based to support the curricular and research needs of the Emory community?). Note: Emory may support content that does not match Emory curricular or research needs because of the general nature of the initiative and its intellectual contribution to research.
- The OA initiative should have a sustainable and equitable business model that does not require exorbitant costs for the author or the library. To mitigate unforeseen budgetary changes, multiyear commitments should include a clause allowing Emory Libraries to exit the agreement in cases of financial exigency.
- The OA initiative should adhere to social justice principles, such as those established by the Coalition for Diversity and Inclusion in Scholarly Communications (https://c4disc.org/principles/), in terms of content and business practices.

- The OA initiative should adhere to ethical principles in terms of content and business practices. Specifically, the company/organization providing the OA content should be reputable and follow the Open Access Scholarly Publishing Association's Code of Conduct (http://oaspa.org/membership/code-of-conduct/) and/or the Committee on Publication Ethics guidelines (https://publicationethics.org/guidance/Guidelines).
- Library financial support should be based on a cost-to-content ratio (i.e., fees should be in proportion to the content received or produced) as well as any benefits accrued to contributors (e.g., advance access to content).
- Of particular consideration should be whether Emory has contributed content or whether Emory authors are represented.
- The library should receive some benefit from contribution even if the OA funding goal is not met.
- The publisher/organization should have a robust policy and practice in place for the preservation of their OA titles or collections or will facilitate Emory serving as the preservation repository if we choose.
- The publisher/organization should have a metadata plan to enable and enhance discovery of OA materials it produces.

Support of each OA model will be reassessed on a regular basis to determine whether terms of agreement or support (financial or otherwise) are still beneficial to Emory. Current OA initiatives supported by and/or under consideration will be documented and updated by way of an Open Access Inventory.

A regularly recurring budget fund has been established to support and track OA publishing models and initiatives. The fund can be used for one-time or ongoing commitments and is refreshed annually (above and beyond past commitments), expanding the financial commitment to OA over time when possible.

Requests for OA monograph collections or support of large-scale OA digitization efforts should go through the Collection Management Technical Advisory Group (CMTAG) as we are committing staff time to review contracts and to catalog and manage these materials.

Open Educational Resources
Although Emory Libraries does not traditionally purchase or lease textbooks, open educational resources (OERs) should be discoverable and available through the catalog. OERs are teaching and learning materials that are free of cost in digital form and openly licensed to allow users to retain, reuse, revise, remix, and redistribute them. Instructors benefit from OERs' flexibility, and students save money, which is particularly beneficial to those from historically excluded populations. Furthermore, because Emory is expanding its online education offerings, OERs are more readily available to students around the world who might otherwise have difficulty purchasing or leasing traditional textbooks. OERs will be added to the catalog when large collections of them are made available along with their metadata that can be batch downloaded (e.g., the Open Textbook Library, https://open.umn.edu/opentextbooks).

Support for Individual Faculty/Researchers
Although Emory Libraries may not be able to financially foster or support all notable OA initiatives, the Libraries may support individual authors' efforts to publish OA monographs or journal articles. Emory

University's Open Access Publishing Fund (https://sco.library.emory.edu/open-access-publishing/oa-funding-support/index.html) provides funds to Emory authors to publish OA journal articles and books when no alternative funding is available. For eligibility criteria, please see https://open.library.emory.edu/authors/oa-fund/. It should be noted that Emory-affiliated authors may apply for subvention funds to publish with for-profit publishers, such as Springer and Cambridge, who have established OA journal and monograph initiatives. The Libraries may refer Emory-affiliated authors to the Fox Center's Digital Publishing in the Humanities initiative (http://fchi.emory.edu/digitalpublishing/index.html) for more robust funding needs.

Open-Access Collection Requesting and Open-Resource Catalog Additions

Relevant OA content identified as within this collection development policy will be added to our collections in the same way as purchased content. As emphasized above, the content should be in line with the current collection development guidelines of the relevant library.

Requests for most OA journals are not required as Emory Libraries have activated the Directory of Open Access Journals within our discovery tools, and these titles are included and updated regularly in the catalog. For other content, including OA collections and monographs, the following general guidelines should apply.

- Requests for large-scale collections of OA monograph collections to be added to the catalog should go through CMTAG as we are committing staff time to catalog and manage these materials.
- All OA content from a publisher or subject collection should be requested if possible.
- Single titles can be requested for additions to the catalog on an as-needed basis at the discretion of the subject librarian
- Depending on the quality of the individual records, a catalog entry just for the accompanying database may be needed.
- Deselection of content with defunct links should take place concurrently with other link checks in the catalog.

Specific procedures for the inclusion and approval of such content are developed and maintained jointly by the CMTAG and CATACOM groups in the library.